

Course Code:1PGDFM3  
Course: Security Analysis and Portfolio Management  
Credit: 5  
Last Submission Date: April 30 (for January Session)  
October 31, (for July session)

Max. Marks:-30  
Min. Marks:-12

Note:-attempt all questions.

- Que.1 What do you mean by investment ? Distinguish between investment and speculation  
Also example investment alternatives.
- Que.2 What do you understand by investment risk? explain the different types of investment  
Risk .
- Que.3 What do you understand by listing of security? Briefly explain the legal provisions of  
listing of security .
- Que.4 Briefly explain the objective and function of OTCEI?
- Que.5 Distinguish between primary market and secondary market. What are the motivating  
Factors to transact in the secondary market?
- Que.6 What do you understand by fundamental analysis? Distinguish between functional  
Analysis and technical analysis.
- Que.7 What do you mean by portfolio management ? Describe the different phases in  
Portfolio management.
- Que.8 What do you understand by mutual fund ? Briefly explain types and advantages of  
Mutual fund.
- Que.9 Briefly explain efficient market theory.
- Que.10 Short notes:-
- (1) SEBI
  - (2) Capital asset pricing maker
  - (3) Arbitrage pricing model